

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

CNS

**CITY NATIONAL SECURITIES, INC.
400 NORTH ROXBURY DRIVE
BEVERLY HILLS, CA 90210**

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2017

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	71.10%
Limit orders as percentage of total limit orders	26.32%
Other orders as percentage of total other orders	2.58%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. VIRTU Americas LLC	33.76%
2. Citadel Securities LLC	32.47%
3. BATS BZX Exchange	8.64%
4. EDGX Exchange, Inc.	6.16%
5. Two Sigma Securities, LLC	4.97%
6. G1 Execution Services, LLC	4.67%
7. Instinet LLC	2.78%
8. BATS BYX Exchange	2.18%
9. New York Stock Exchange	1.89%
10. UBS Securities, LLC	1.09%

Information Concerning Significant Venues :

1. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.78%
Limit orders as percentage of total limit orders	16.23%
Other orders as percentage of total other orders	19.23%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	39.25%
Limit orders as percentage of total limit orders	13.96%
Other orders as percentage of total other orders	34.62%

3. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	32.45%
Other orders as percentage of total other orders	3.85%

4. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	22.64%
Other orders as percentage of total other orders	7.69%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.87%
Limit orders as percentage of total limit orders	3.02%
Other orders as percentage of total other orders	0.00%

6. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.15%
Limit orders as percentage of total limit orders	1.13%
Other orders as percentage of total other orders	0.00%

7. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.77%
Limit orders as percentage of total limit orders	0.38%
Other orders as percentage of total other orders	0.00%

8. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.93%
Limit orders as percentage of total limit orders	0.38%
Other orders as percentage of total other orders	0.00%

9. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.17%
Other orders as percentage of total other orders	0.00%

10. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.56%
Limit orders as percentage of total limit orders	0.75%
Other orders as percentage of total other orders	19.23%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	64.88%
Limit orders as percentage of total limit orders	32.54%
Other orders as percentage of total other orders	2.59%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	32.64%
2. VIRTU Americas LLC	30.75%
3. EDGX Exchange, Inc.	10.25%
4. BATS BZX Exchange	10.15%
5. Two Sigma Securities, LLC	5.77%
6. G1 Execution Services, LLC	3.88%
7. Instinet LLC	1.59%
8. NASDAQ Execution Services, LLC	1.49%
9. BATS BYX Exchange	1.09%
10. WOLVERINE SECURITIES, LLC	0.50%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	44.94%
Limit orders as percentage of total limit orders	9.48%
Other orders as percentage of total other orders	15.38%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	37.42%
Limit orders as percentage of total limit orders	19.27%
Other orders as percentage of total other orders	7.69%

3. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	29.97%
Other orders as percentage of total other orders	19.23%

4. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	30.89%
Other orders as percentage of total other orders	3.85%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.06%
Limit orders as percentage of total limit orders	3.67%
Other orders as percentage of total other orders	0.00%

6. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.21%
Limit orders as percentage of total limit orders	1.53%
Other orders as percentage of total other orders	0.00%

7. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.15%
Limit orders as percentage of total limit orders	0.31%
Other orders as percentage of total other orders	3.85%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.59%
Other orders as percentage of total other orders	0.00%

9. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.53%
Limit orders as percentage of total limit orders	0.31%
Other orders as percentage of total other orders	0.00%

10. WOLVERINE SECURITIES, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.77%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	58.81%
Limit orders as percentage of total limit orders	13.73%
Other orders as percentage of total other orders	27.46%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. VIRTU Americas LLC	47.83%
2. Citadel Securities LLC	30.43%
3. G1 Execution Services, LLC	5.49%
4. Two Sigma Securities, LLC	2.75%
5. BATS BYX Exchange	2.06%
6. Instinet LLC	1.83%
7. BATS BZX Exchange	1.83%
8. National Financial Services LLC	1.60%
9. EDGX Exchange, Inc.	1.37%
10. NASDAQ Execution Services, LLC	0.92%

Information Concerning Significant Venues :

1. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	38.52%
Limit orders as percentage of total limit orders	36.67%
Other orders as percentage of total other orders	73.33%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.63%
Limit orders as percentage of total limit orders	15.00%
Other orders as percentage of total other orders	14.17%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.56%
Limit orders as percentage of total limit orders	3.33%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.28%
Limit orders as percentage of total limit orders	1.67%
Other orders as percentage of total other orders	0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.11%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.83%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.72%
Limit orders as percentage of total limit orders	1.67%
Other orders as percentage of total other orders	0.00%

7. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	10.00%
Other orders as percentage of total other orders	1.67%

8. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.67%
Other orders as percentage of total other orders	0.00%

9. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.33%
Other orders as percentage of total other orders	0.83%

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	3.33%
Other orders as percentage of total other orders	1.67%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	34.29%
Limit orders as percentage of total limit orders	65.71%
Other orders as percentage of total other orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. NASDAQ PHLX Options	24.44%
2. NASDAQ Options Market	17.46%
3. The Chicago Board Options Exchange	16.83%
4. ISE Gemini Options Exchange	13.02%
5. NYSE Arca Options	9.84%
6. BOX Option Exchange	6.35%
7. The MIAX Options Exchange	5.71%
8. NYSE Amex Options	2.22%
9. BZX Options Exchange, Inc.	2.22%
10. EDGX Option Exchange	1.27%

Information Concerning Significant Venues :

1. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.67%
Limit orders as percentage of total limit orders	15.46%
Other orders as percentage of total other orders	0.00%

2. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.85%
Limit orders as percentage of total limit orders	25.60%
Other orders as percentage of total other orders	0.00%

3. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.48%
Limit orders as percentage of total limit orders	9.18%
Other orders as percentage of total other orders	0.00%

4. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.93%
Limit orders as percentage of total limit orders	19.32%
Other orders as percentage of total other orders	0.00%

5. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.93%
Limit orders as percentage of total limit orders	14.49%
Other orders as percentage of total other orders	0.00%

6. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.41%
Limit orders as percentage of total limit orders	5.80%
Other orders as percentage of total other orders	0.00%

7. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.56%
Limit orders as percentage of total limit orders	5.80%
Other orders as percentage of total other orders	0.00%

8. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.63%
Limit orders as percentage of total limit orders	0.97%
Other orders as percentage of total other orders	0.00%

9. BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.85%
Limit orders as percentage of total limit orders	2.42%
Other orders as percentage of total other orders	0.00%

10. EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.78%
Limit orders as percentage of total limit orders	0.48%
Other orders as percentage of total other orders	0.00%