

RBC Securities

COMMISSION & TRANSACTION FEE SCHEDULE



May 29, 2026

Equity Commissions¹

Online Brokerage

1 - 5,000 shares	\$19.95
Over 5,000 shares.....	\$19.95 + 1¢ per share ²

Low Priced Securities - Equities that are less than \$1.00 per share will be charged the lower of the online brokerage rates, or 5% of principal with a \$19.95 minimum.

RBC Securities (RBCS) Broker Assisted

\$35.00 + 2.5¢ per share²

Low Priced Securities - Equities that are less than \$1.00 per share will be charged the lower of the broker assisted rates, or 5% of principal with a \$35.00 minimum.

Listed Preferred, ETF and Hybrid Securities

Rep advised listed preferred, ETF and hybrid securities will be charged a maximum 2% of principal with a \$75 minimum.

Mutual Fund Transaction Fees

Online Brokerage

No Transaction Fee Funds ³	No Charge
All Other Funds ⁴	\$15.00 per trade

RBC Securities Broker Assisted

All Funds	\$35.00 per trade
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Automatic Investment (\$100 minimum)

All Funds	\$5.00 per trade
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Fixed Income Transactions

For principal transactions: RBCS applies a mark-up or mark-down that is embedded in the execution price. Mark-ups and mark-downs typically range from .001% to 3%. Mark-ups and mark-downs vary and are determined based on a variety of factors, including the principal amount of the transaction, the price of the security, the liquidity/availability of the security, and the security's maturity.

Option Commissions

Online Brokerage.....	\$19.95 + \$1.50 per contract
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RBC Securities Broker Assisted	\$35.00 + \$2.00 per contract
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Exercises and Assignments

Trades will be charged Broker Assisted Equity Commissions.

Good Till Canceled (GTC) orders that take longer than one trading day to fully execute a trade will be charged a separate commission based on the appropriate schedule (online or broker assisted) each day a trade is executed to complete the entire order.

¹ These commissions and fees apply to securities including, but not limited to listed and over-the-counter stocks, warrants, and exchange traded funds (ETFs).

² ¢ per share applies to entire order.

³ Limited to 25 "buy" transactions per calendar month. Additional "buy" transactions will be charged \$15 per transaction.

⁴ Additional \$10 processing fee may occur on certain fund families. Please contact your broker.

Non-Deposit Investment Products:

- are not FDIC insured
- are not Bank guaranteed
- may lose value

Miscellaneous Fees

International Equities Settlement/Clearance	\$75.00
International Fixed Income Settlement/Clearance.....	\$50.00

Recordkeeping

Annual Fee.....	\$75.00
<i>Account is charged if no trades are placed in the account during the year. Fee is waived if account has a balance of more than \$10,000 in a City National Rochdale Money Market Fund or the RBCS Bank Deposit Sweep on June 30 of each year.</i>	

Individual Retirement Account (IRA)

Annual Maintenance	\$35.00
Termination Fee	\$125.00

Alternative Investments

Includes, but not limited to, Limited Partnership (non-exchange), Non-Traded REITs, and Private Equity/Debt investments.

Review	\$100 per issue
Annual Administration	
Registered Alternative Investment Products ⁵	\$35 per position
Non-Registered Alternative Investment Products	\$125 per position
Establishment.....	\$50 per issue

Transfer & Ship Stock Certificate

Send Original Certificate to Client	\$15.00
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Transfer & Ship Direct Registration System (DRS)

DRS eligible	\$15.00
<i>Charged when a client requests issuance of their stock through DRS system.</i>	

Non-Direct Registration (DRS) eligible..... \$220.00

Restricted Stock Clearance (per CUSIP)..... \$250.00

Safekeeping Restricted Securities (per certificate)..... \$15.00/month

Trade & Margin Extensions..... \$12.00
Charged when cash or securities are not available to settle by extension date and a trade extension is filed.

Physical Reorganization

.....	\$150.00
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Charged when a certificate is deposited into a client's account after the mandatory reorganization action date has passed.

Legal Transfers

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Charged when a transfer requiring legal documentation is completed.

Legal Returns

.....	\$75.00
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Charged when certificates are returned to a client due to a "not in good Order" status that is in effect for more than 45 days.

DTC Deliveries

.....	\$40.00
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Outgoing Transfers

Account Transfer via ACAT	\$95.00
<i>(plus any additional applicable transfer agent fees)</i>	
Returned Check Fee	\$15.00
Outgoing Check Fee.....	\$5.00
Outgoing Wire Fee	\$35.00

Pass-Through Fee

Pass-through fees may apply to some additional fee items. Please call your Financial Advisor for more information.

Prime-Broker.....\$40.00 per trade
Additional fees may apply. Please contact your advisor.

For fixed income securities, customer service and broker assisted trades, please call (800) 280-1464.

⁵Products registered under the Securities Act of 1933.

Non-Deposit Investment Products:	• are not FDIC insured	• are not Bank guaranteed	• may lose value
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